

## Distinguishing between the effects of ocean acidification and ocean carbonation in the coccolithophore *Emiliania huxleyi*

Lennart Thomas Bach,\* Ulf Riebesell, and Kai Georg Schulz

Leibniz Institute of Marine Sciences (IFM-GEOMAR), Kiel, Germany

### Abstract

The coccolithophore *Emiliania huxleyi* was cultured under a broad range of carbonate chemistry conditions to distinguish the effects of individual carbonate system parameters on growth, primary production, and calcification. In the first experiment, alkalinity was kept constant and the fugacity of CO<sub>2</sub> (fCO<sub>2</sub>) varied from 2 to 600 Pa (1 Pa ≈ 10 μatm). In the second experiment, pH was kept constant (pH<sub>free</sub> = 8) with fCO<sub>2</sub> varying from 4 to 370 Pa. Results of the constant-alkalinity approach revealed physiological optima for growth, calcification, and organic carbon production at fCO<sub>2</sub> values of ~ 20 Pa, ~ 40 Pa, and ~ 80 Pa, respectively. Comparing this with the constant-pH approach showed that growth and organic carbon production increased similarly from low to intermediate CO<sub>2</sub> levels but started to diverge towards higher CO<sub>2</sub> levels. In the high CO<sub>2</sub> range, growth rates and organic carbon production decreased steadily with declining pH at constant alkalinity while remaining consistently higher at constant pH. This suggests that growth and organic carbon production rates are directly related to CO<sub>2</sub> at low (sub-saturating) concentrations, whereas towards higher CO<sub>2</sub> levels they are adversely affected by the associated decrease in pH. A pH dependence at high fCO<sub>2</sub> is also indicated for calcification rates, while the key carbonate system parameter determining calcification at low fCO<sub>2</sub> remains unclear. These results imply that key metabolic processes in coccolithophores have their optima at different carbonate chemistry conditions and are influenced by different parameters of the carbonate system at both sides of the optimum.

With the beginning of the industrial revolution in the late 18th century, humankind started to considerably increase the atmospheric carbon dioxide (CO<sub>2</sub>) concentration mainly due to burning of fossil fuels and deforestation of vast regions. This increase would have been even more pronounced if the ocean had not absorbed ~ 30% of anthropogenic CO<sub>2</sub> (Sabine et al. 2004). The flip side of the invasion of anthropogenic CO<sub>2</sub> into the ocean (i.e., ocean carbonation) is a decreasing seawater pH—a problem that is referred to as ocean acidification. The surface seawater pH has already decreased by 0.1 pH units since preindustrial times and might further decrease about 0.3–0.4 pH units by the year 2100 (Wolf-Gladrow et al. 1999; Caldeira and Wickett 2005). Ocean acidification and ocean carbonation lead to changes in the concentrations of CO<sub>2</sub>, HCO<sub>3</sub><sup>–</sup>, CO<sub>3</sub><sup>2–</sup>, and H<sup>+</sup> in seawater. The effect of these projected changes on different cellular processes such as photosynthesis and calcification is not yet fully understood.

Phytoplankton produces organic carbon via photosynthesis, thereby forming the basis of the marine food web. Coccolithophores constitute an important group of phytoplankton. Their characteristic feature is the production of small scales (coccoliths) made of calcium carbonate (CaCO<sub>3</sub>) which cover the cell. The response of coccolithophores to projected changes in carbonate chemistry has been studied extensively in the past (reviewed in Riebesell and Tortell in press). Most of these studies examined the response of coccolithophores to projected future ocean CO<sub>2</sub> scenarios (IPCC 2001) and found profound changes in growth rates, photosynthesis, and carbon fixation in response to increasing CO<sub>2</sub> levels. The study presented

here focuses on the physiology of the coccolithophore *Emiliania huxleyi* (strain B92/11). The primary motivation was to determine optimum carbonate chemistry conditions for growth, primary production, and calcification rates of *E. huxleyi* and to elucidate which parameters of the carbonate system were responsible for the observed sensitivities.

### Methods

**Experimental setup**—Two experiments are presented in this study, which are generally similar in design and growth conditions. Differences between the experiments are exclusively reflected in the carbonate system manipulation (see below).

Monospecific cell cultures of *Emiliania huxleyi* B92/11 (Plymouth Marine Laboratory) were grown in dilute batch cultures where *E. huxleyi* was harvested at low biomass (final cell numbers not exceeding 73,000 cells mL<sup>–1</sup>) so that there was no significant change in the chemical or physical conditions of the culture medium (LaRoche et al. 2010). Cells grew at 15°C in artificial seawater at a photon flux density of 150 μmol m<sup>–2</sup> s<sup>–1</sup> and a 16:8 h light:dark cycle. Artificial seawater with a salinity of 35 was prepared as described in Kester et al. (1967) without the addition of NaHCO<sub>3</sub>. The dissolved inorganic carbon (DIC)– and total alkalinity (TA)–free artificial seawater was enriched with NaNO<sub>3</sub> (nitrate) and Na<sub>2</sub>HPO<sub>4</sub> (phosphate) to concentrations of ~ 64 μmol kg<sup>–1</sup> and ~ 4 μmol kg<sup>–1</sup>, respectively, and with trace metals and vitamins according to f/8 medium (Guillard and Ryther 1962). Concentrations of nitrate and phosphate were determined according to Hansen and Koroleff (1999) at the beginning and the end

\* Corresponding author: lbach@ifm-geomar.de

of the experiments and indicated replete (non-limiting) concentrations for both nutrients throughout the experiments. The artificial seawater was further enriched with 10 nmol kg<sup>-1</sup> of SeO<sub>2</sub> (Danbara and Shiraiwa 1999) and 2 mL kg<sup>-1</sup> of natural North Sea water to provide possible other essential micronutrients that are not included in the recipe of Guillard and Ryther (1962). The medium was sterile filtered (0.2 µm) into sterile 2.5-liter polycarbonate bottles where the carbonate chemistry was adjusted (*see below*). After taking samples for TA or pH and DIC, the medium was gently transferred into sterile 2-liter polycarbonate bottles. Headspace in the experimental bottles was minimized to ~ 5 mL. Bottles were acclimated to the incubation temperature prior to inoculation from the pre-cultures to avoid a potential thermal shock. Cells were acclimated to the growth and carbonate chemistry conditions of the main experiment for at least nine generations.

**Carbonate chemistry manipulation**—In the first experiment, TA was kept constant whereas fCO<sub>2</sub> was varied from 2 to 600 Pa (1 Pa ≈ 10 µatm). This experiment simulates ocean acidification and carbonation where both pH and CO<sub>2</sub> change (*variable*pH). In the second experiment, pH was kept constant and fCO<sub>2</sub> was varied from 4 to 370 Pa. This experiment simulates ocean carbonation alone (*constant*pH). At *variable*pH, DIC levels were adjusted by adding calculated amounts of Na<sub>2</sub>CO<sub>3</sub>. TA was adjusted through calculated amounts of hydrochloric acid (3.571 molar, certified by Merck) to reach a constant value of ~ 2325 µmol kg<sup>-1</sup> (± 22 µmol kg<sup>-1</sup>) in all treatments (Gattuso et al. 2010). Carbonate chemistry of *constant*pH was adjusted by adding 2 mmol kg<sup>-1</sup> of 2-[4-(2-hydroxyethyl)-1-piperazinyl]-ethanesulfonic acid (HEPES; p*H<sub>f</sub>* [pH on free scale] ~ 8.0) and calculated amounts of NaHCO<sub>3</sub>. The small change in pH by NaHCO<sub>3</sub> addition was compensated by additions of NaOH, resulting in a constant p*H<sub>f</sub>* of ~ 8 (± 0.01) in all treatments.

**Carbonate chemistry sampling and measurements**—Samples for TA measurements were filtered (~ 0.7 µm), poisoned with a saturated HgCl<sub>2</sub> solution (0.5‰ final concentration), and stored at 4°C. TA was measured in duplicate, applying a two-stage potentiometric open cell titration (Dickson et al. 2003), and corrected with certified reference material (A. Dickson, La Jolla, California). DIC samples were sterile filtered (0.2 µm) by gentle pressure and stored bubble free in 4-mL borosilicate bottles at 4°C until photometrical measurement (Stoll et al. 2001).

Samples for pH were measured potentiometrically at 15°C with separate glass and reference electrodes (Metrohm), which were calibrated with certified reference material (A. Dickson, La Jolla, California). p*H<sub>f</sub>* of the reference material was calculated from known TA and DIC, applying the constants of Roy et al. (1993). Measured electromotive force (E) of the samples and standards were used to calculate the p*H<sub>f</sub>* of the sample, applying Eq. 1:

$$\text{pH}_f = \text{pH}_{f\_reference} + \frac{E_s - E_x}{R \times T \times \frac{\ln 10}{F}} \quad (1)$$

where p*H<sub>f</sub>*<sub>reference</sub> is the calculated p*H<sub>f</sub>* of the certified reference material, E<sub>s</sub> and E<sub>x</sub> are the measured electromotive forces in volts of the reference material and the sample respectively, T is the temperature of the sample in kelvin, R is the universal gas constant, and F is the Faraday constant. This method of measuring pH is comparable to the potentiometric method described in Dickson et al. (2007) with the difference that instead of self-made buffers in synthetic seawater, reference material certified for DIC and TA is used. This was possible in our case because the salinity in the experiments (i.e., 35) is close to the salinity of the certified reference material (i.e., 33.3).

For DIC measurements, the DIC range in *constant*pH, extending from ~ 180 to ~ 14,000 µmol kg<sup>-1</sup> was far too large to be measured with recommended methods. Therefore, culture medium was mixed with artificial seawater of known DIC concentration immediately after culture bottles were opened for sampling. The ratio of the mixing solvent to the original DIC sample was determined by first weighing the mixing solvent alone and in a second step the mixing solvent plus the original DIC sample on a high-accuracy balance with a precision of ± 0.01 mg (Sartorius). The mixture was carefully rotated in a 50-mL tube with ~ 1-mL headspace. The ratio of sample to mixing solvent was adjusted to result in a final DIC concentration of 1800–2200 µmol kg<sup>-1</sup>. From that point on, DIC samples were sterile filtered (0.2 µm) by gentle pressure and stored bubble free in 4-mL borosilicate bottles at 4°C until photometrical measurement (Stoll et al. 2001).

**DIC estimations**—Unfortunately, we lost all DIC measurements of *variable*pH and the DIC measurements from the beginning of *constant*pH due to storage problems. To obtain DIC concentrations from the beginning of *constant*pH, we added the total particulate carbon buildup which was produced during the experiment to the final DIC concentrations. Initial DIC concentrations from *variable*pH were calculated by taking advantage of the following conditions. The artificial seawater, which was used in *variable*pH, was initially free of TA and DIC. Hence, TA and DIC were exclusively added as Suprapur® Na<sub>2</sub>CO<sub>3</sub> (Merck). This means that the addition of 1 mole of Na<sub>2</sub>CO<sub>3</sub> increased DIC by 1 mole and TA by 2 moles. Excess TA was removed in the following step by adding calculated amounts of certified HCl (3.571 mol L<sup>-1</sup>, Merck) with no effect on DIC. Initial DIC concentration can then be calculated as:

$$\text{DIC} = \frac{\text{TA}_{\text{measured}} + (\text{Volume}_{\text{acid}} \times 3.571)}{2} \quad (2)$$

where TA<sub>measured</sub> is the measured TA in µmol kg<sup>-1</sup> at the beginning of the experiment, Volume<sub>acid</sub> is the volume of acid that was added in µL kg<sup>-1</sup>, and 3.571 is the molarity of the acid (certified by Merck) in µmol µL<sup>-1</sup>. DIC concentrations at the end of *variable*pH were calculated by subtracting the measured total particulate carbon buildup from the initial DIC concentrations.

**Quality assessment of DIC estimations**—There are potential sources of uncertainty on the DIC estimation

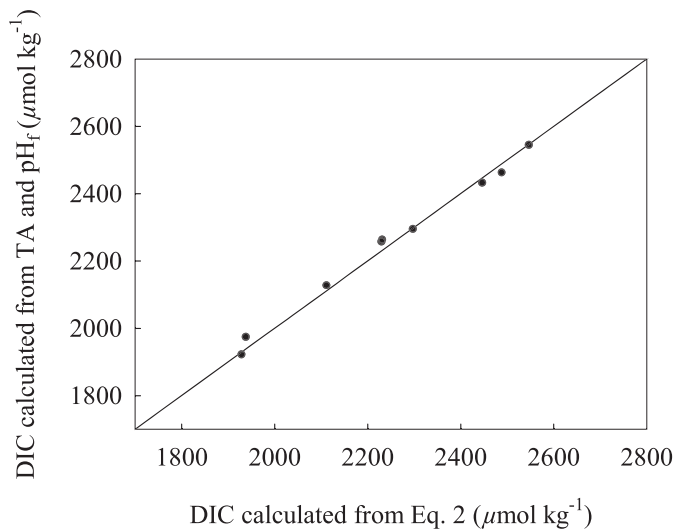


Fig. 1. DIC concentration calculated from TA and  $\text{pH}_f$  applying stoichiometric equilibrium constants by Roy et al. (1993) in relation to DIC concentration calculated from Eq. 2. The solid line denotes equal concentrations. The maximum offset between the two methods was  $30 \mu\text{mol kg}^{-1}$ .

given above. The calculation of initial or final DIC values from total particulate carbon production does not account for buildup of dissolved organic carbon in the course of the experiments. To estimate whether this might have caused large deviations in DIC estimations, nine cultures of *E. huxleyi* were grown at different  $\text{CO}_2$  levels at the same culture conditions as in the experiments presented in this study. We found that DIC drawdown is not systematically higher or lower than total particulate carbon buildup. The maximum difference between both measurements was

$14 \mu\text{mol kg}^{-1}$ . Another source of uncertainty arises from the DIC estimation from total alkalinity measurements and acid addition given in Eq. 2. The quality of this procedure was tested by comparing DIC values calculated from measured TA and  $\text{pH}$  to those calculated from Eq. 2. We found a maximum difference of  $30 \mu\text{mol kg}^{-1}$  between both DIC values (Fig. 1). In summary, there are uncertainties of  $40\text{--}50 \mu\text{mol kg}^{-1}$  in the applied DIC estimations. These uncertainties are small compared to the large DIC range of  $\sim 1200 \mu\text{mol kg}^{-1}$  in *variablepH* and  $\sim 13,800 \mu\text{mol kg}^{-1}$  in *constantpH* and should therefore not affect the overall conclusions drawn in this study.

**Carbonate chemistry calculations**—Carbonate system parameters used to calculate carbonate chemistry in *variablepH* were TA and the estimated DIC (see above). Carbonate system parameters used to calculate carbonate chemistry in *constantpH* were measured  $\text{pH}_f$  and DIC where DIC was directly measured at the end of the experiment while it was estimated at the beginning of the experiment (see above).

The other carbonate system parameters and  $\text{fCO}_2$  were calculated from temperature, salinity, measured inorganic phosphate concentrations, and the respective carbonate system parameters, using equilibrium constants of Roy et al. (1993) and the program CO2Sys (Lewis and Wallace 1998) (Fig. 2). Biological response data are plotted against the means of the initial and final values of the carbonate chemistry. Horizontal bars in Figs. 3, 4 denote the change in  $\text{fCO}_2$  over the course of the experiment.

**Sampling and processing of organic matter, inorganic matter, cell size, and cell numbers**—Sampling started 2 h after the beginning of the light period and lasted no longer

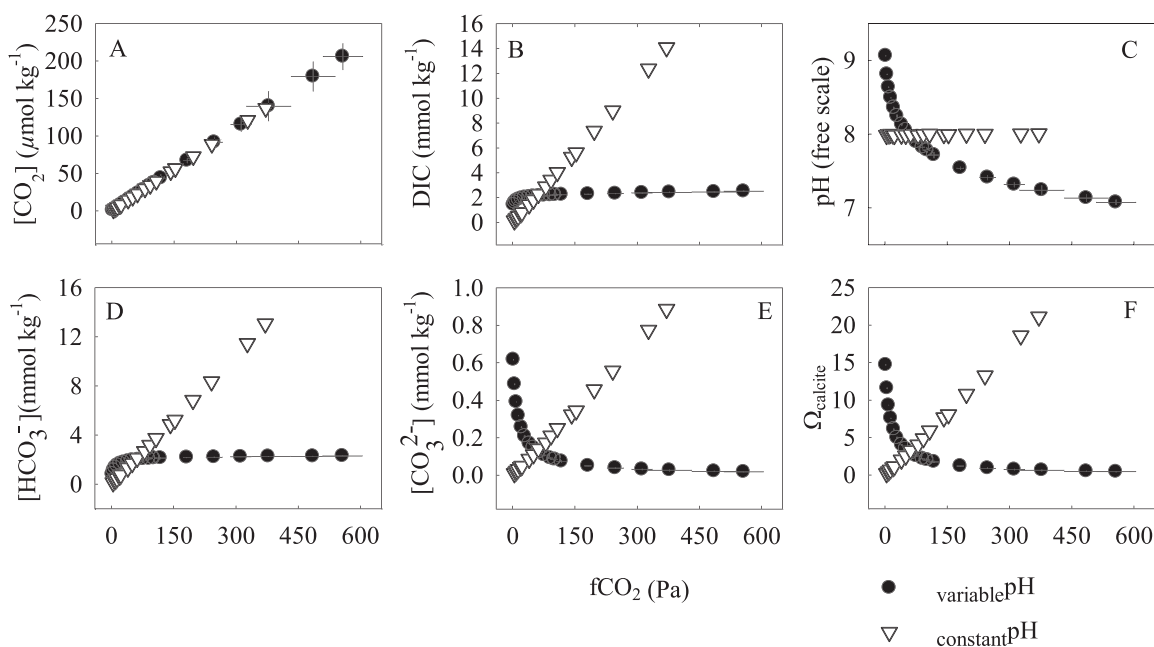


Fig. 2. Carbonate system parameters in *variablepH* and *constantpH* in relation to  $\text{fCO}_2$ . (A)  $[\text{CO}_2]$ , (B) DIC, (C)  $\text{pH}$ , (D)  $[\text{HCO}_3^-]$ , (E)  $[\text{CO}_3^{2-}]$ , and (F)  $\Omega_{\text{calcite}}$ . Horizontal and vertical bars denote the change in the carbonate chemistry parameter over the course of the experiment. The response data are plotted against the means of the initial and final values of the carbonate chemistry.

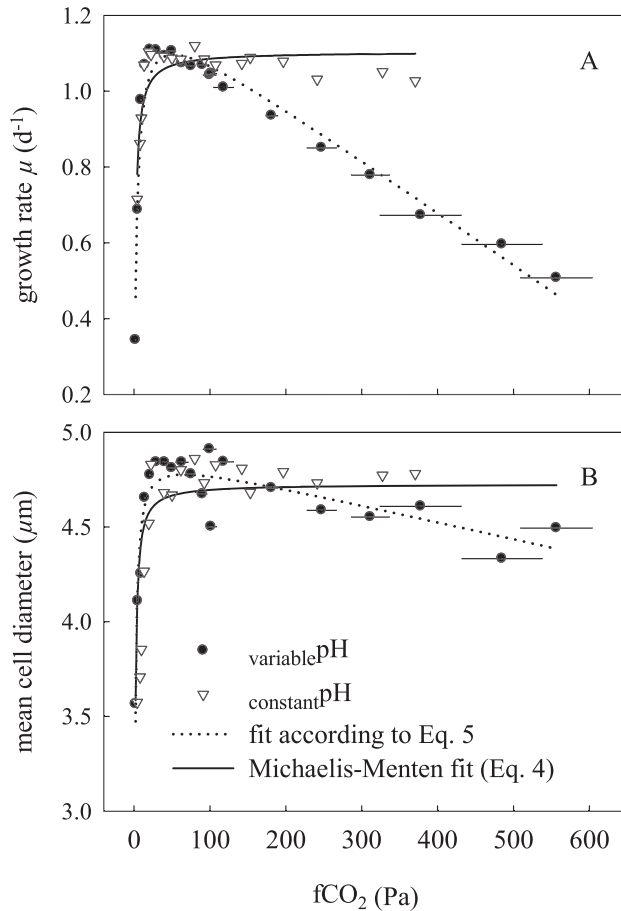


Fig. 3. Growth rates and mean cell diameter in *variable* pH and *constant* pH in relation to fCO<sub>2</sub>. (A) Growth rates (dotted line  $R^2 = 0.95$ ,  $p < 0.0001$ ; solid line  $R^2 = 0.74$ ,  $p < 0.0001$ ). (B) Mean cell diameter (dotted line  $R^2 = 0.86$ ,  $p < 0.0001$ ; solid line  $R^2 = 0.89$ ,  $p < 0.0001$ ). Horizontal bars denote the change in fCO<sub>2</sub> over the course of the experiment. The biological response data are plotted against the means of the initial and final fCO<sub>2</sub> values.

than 2 h. Duplicate samples for total particulate carbon (TPC), particulate organic carbon (POC), and single samples for chlorophyll *a* (Chl *a*) were gently filtered (20 kPa) onto precombusted (5 h, 500°C) GF/F filters and stored in the dark at -20°C until measurements. Samples for TPC and POC in *constant* pH were rinsed with artificial seawater free of HEPES buffer and supersaturated with respect to calcite ( $\Omega = \sim 4$ ) after filtration. Rinsing the culture medium off the GF/F filters was necessary because remains of organic buffer on the filter would have otherwise contributed  $\sim 40 \mu\text{g}$  of carbon to TPC and POC measurements. POC filters were stored for 2 h in a desiccator, containing fuming HCl solution, to remove all inorganic carbon. After 6 h of drying at 60°C, POC and TPC were measured using an isotope ratio mass spectrometer combined with an elemental analyzer (EuroEA, Hekatech GmbH). Particulate inorganic carbon (PIC) was calculated as the difference of TPC and POC. Four POC samples of *constant* pH were lost during measurement.

Chl *a* filters were homogenized with glass beads for 5 min in 10 mL of 90% acetone and centrifuged for 10 min at 5500 rounds per minute and 0°C. The supernatant was measured fluorometrically (Welschmeyer 1994) using a Turner 10-AU fluorometer.

Cell numbers and cell volume were determined with a Coulter Counter Z series (Beckman Coulter) at the beginning and the end of the main experiment,  $\sim 4$  h after the light period started. Cell numbers were determined only at the beginning and the end to avoid the buildup of a large headspace in the culture bottles. Cell diameters of *E. huxleyi* were calculated from cell volume estimates assuming a spherical cell shape (Paasche 2001). The Coulter Counter was calibrated prior to the experiments with polystyrene beads of certified diameter (10  $\mu\text{m}$ ). Growth rates ( $\mu$ ) were calculated from cell numbers according to:

$$\mu = \frac{\ln(t_{\text{fin}}) - \ln(t_0)}{d} \quad (3)$$

where  $t_0$  and  $t_{\text{fin}}$  are the cell numbers at the beginning and the end of the experiment, respectively, and  $d$  is the duration of the experiment in days. Production rates of POC, PIC, and Chl *a* were calculated by multiplying growth rates with the cellular content of the respective parameter.

**Statistical analyses and data fitting**—The data were analyzed by a nonlinear regression model. Therefore, a high number of treatment levels was set up with no replication. This approach was chosen because it provides more quantitative information for ecological modeling compared to an analysis of variance, without loss of power (Cottingham et al. 2005). Coefficients of determination ( $R^2$ ) and  $p$ -values of the applied regression model are given in the figure legends.

Growth, carbon, and Chl *a* production rates, which increased with increasing CO<sub>2</sub> concentrations and leveled off at saturating conditions, can be described by Michaelis-Menten kinetics in the form of:

$$V = \frac{V_{\text{max}} \times \text{fCO}_2}{K_m + \text{fCO}_2} \quad (4)$$

where  $V$  is growth or production rate at a certain fCO<sub>2</sub>,  $V_{\text{max}}$  is the theoretical maximum of growth or production, and  $K_m$  is the fCO<sub>2</sub> at which the maximum is half-saturated. The Michaelis-Menten concept was used to fit the data of *constant* pH. The Michaelis-Menten kinetic was modified by subtracting a linear term from Eq. 4 when growth and production did not remain at maximum values but started to decrease again towards higher fCO<sub>2</sub>. The modified Michaelis-Menten equation reads as

$$V = \frac{X \times \text{fCO}_2}{Y + \text{fCO}_2} - s \times \text{fCO}_2 \quad (5)$$

where  $s$  is the sensitivity constant, and  $X$  and  $Y$  are random fit parameters.  $V_{\text{max}}$  and  $K_m$  can be calculated from a fit with Eq. 5 by the following procedure. Eq. 5 has to be differentiated with respect to fCO<sub>2</sub> and set equal to zero to find the maxima of Eq. 5:



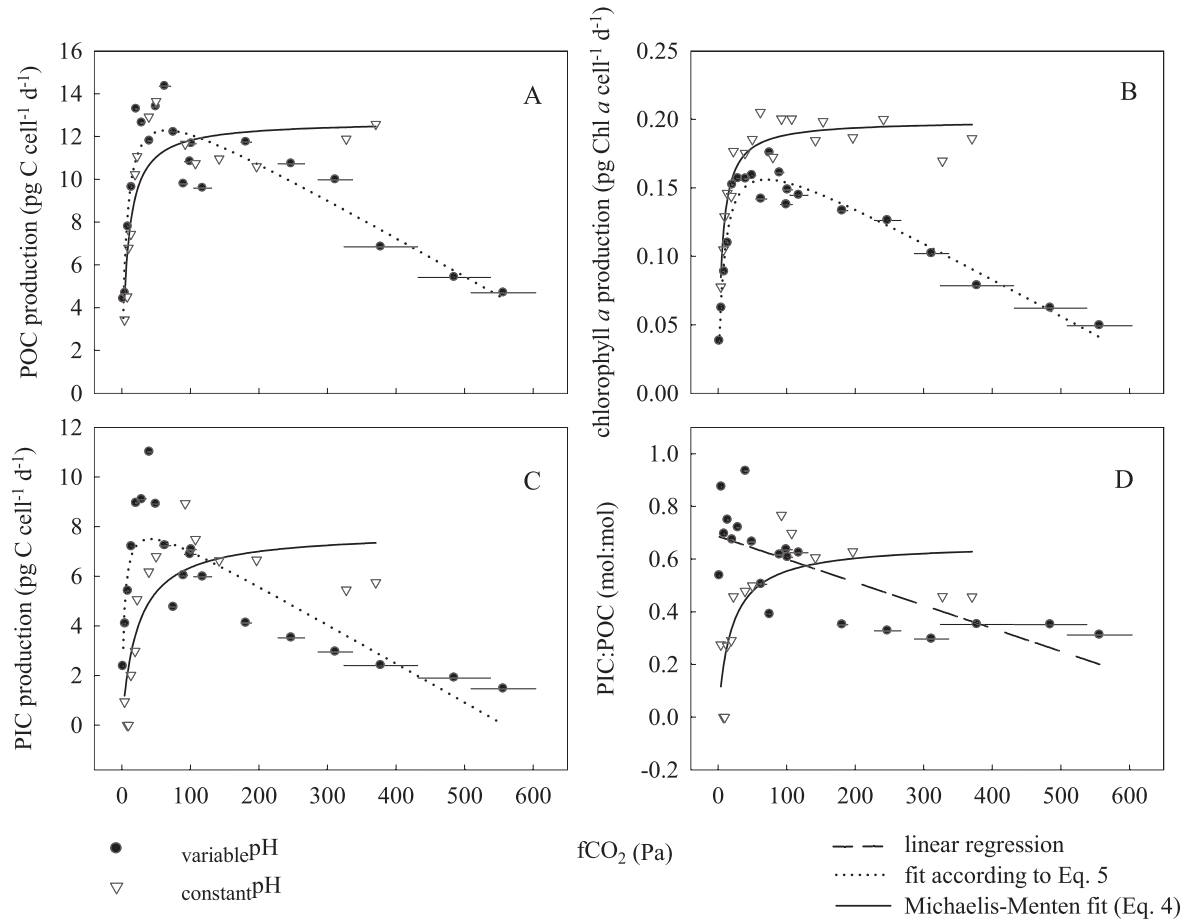


Fig. 4. Cellular POC, Chl *a*, and PIC production rates and PIC : POC ratios in *variable*pH and *constant*pH in relation to *f*CO<sub>2</sub>. (A) POC production (dotted line  $R^2 = 0.80$ ,  $p < 0.0001$ ; solid line  $R^2 = 0.77$ ,  $p < 0.0001$ ), (B) Chl *a* production (dotted line  $R^2 = 0.93$ ,  $p < 0.0001$ ; solid line  $R^2 = 0.87$ ,  $p < 0.0001$ ), (C) PIC production (dotted line  $R^2 = 0.70$ ,  $p < 0.0001$ ; solid line  $R^2 = 0.70$ ,  $p = 0.0001$ ), (D) PIC : POC ratio (dashed line  $R^2 = 0.54$ ,  $p = 0.0001$ ; solid line  $R^2 = 0.61$ ,  $p = 0.0006$ ). Horizontal bars denote the change in *f*CO<sub>2</sub> over the course of the experiment. The biological response data are plotted against the means of the initial and final *f*CO<sub>2</sub> values.

$$\frac{\partial(V)}{\partial(f\text{CO}_2)} = 0 = \frac{X}{Y \times f\text{CO}_2} - \frac{X \times f\text{CO}_2}{(Y \times f\text{CO}_2)^2} - s \quad (6)$$

Eq. 6 has to be solved for *f*CO<sub>2</sub> to yield

$$f\text{CO}_2 = \sqrt{\frac{X \times Y}{s}} - Y \quad (7)$$

The *f*CO<sub>2</sub> value calculated from Eq. 7 has to be inserted in Eq. 5. The resulting *V* equals the theoretical maximum—i.e., *V*<sub>max</sub>. To calculate *K<sub>m</sub>* from fits with Eq. 5, *V*<sub>max</sub>, which has been calculated from Eq. 6 and 7 before, has to be divided by 2 (i.e., *V*<sub>max</sub>/2) and substituted with *V* from Eq. 5. This equation has to be solved for *f*CO<sub>2</sub> and reads

$$f\text{CO}_2 = K_m = \left( \frac{1}{2} \times \frac{X - s \times Y - \frac{V_{\max}}{2}}{s} \right) - \sqrt{\left( \frac{1}{2} \times \frac{X - s \times Y - \frac{V_{\max}}{2}}{s} \right)^2 - \left( \frac{1}{2} \times \frac{V_{\max} \times Y}{s} \right)} \quad (8)$$

where the calculated *f*CO<sub>2</sub> value is the *f*CO<sub>2</sub> where the theoretical maximum from a fit with Eq. 5 is half-saturated (i.e., *K<sub>m</sub>*).

**Scanning electron microscopy (SEM)**—Ten milliliters of sample were filtered by gravity on a polycarbonate filter (0.2-μm pore size). Five milliliters of a 20% ethanol solution (20% ethanol in 80% deionized water), was added to the sample at the point when only ~ 1 mL of the sample was left. This procedure was repeated with a 40%, 60%, 80%, 90%, 95%, and 100% ethanol solution and then with a 100% bis(trimethylsilyl)amine solution. Once the filter fell dry, it was immediately put in a petri dish and dried in a desiccator. The dried samples were kept in the desiccator until they were sputtered with gold-palladium and processed in the scanning electron microscope. This procedure conserves the organic parts of the cells which would otherwise tend to collapse during air-drying due to the high surface tension of the water inside the cells.

The degree of malformation of individual coccoliths was determined by assigning each coccolith to a certain category (Kaffes et al. 2010) with “incomplete,” “normal,” “slightly malformed,” and “strongly malformed”

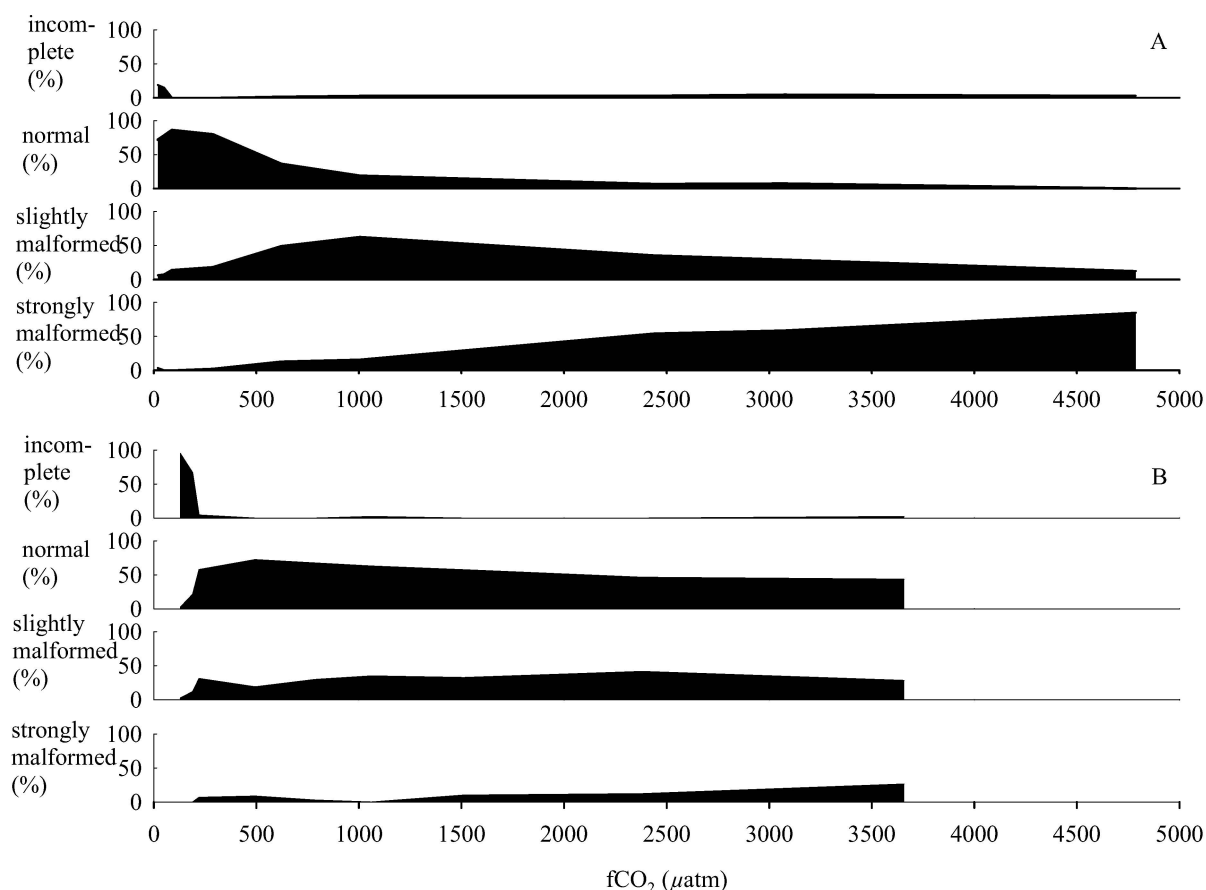


Fig. 5. Morphology of coccoliths in relation to fCO<sub>2</sub>. (A) Samples from *variable*pH. Samples were taken at fCO<sub>2</sub> levels of 2, 5, 9, 29, 63, 101, 247, 311, and 485 Pa. (B) Samples from *constant*pH. Samples were taken at fCO<sub>2</sub> levels of 13, 19, 22, 50, 80, 108, 153, 241, and 371 Pa. (C) Distal view of coccoliths representative for classifications used in panels A and B.

(Fig. 5C). An average of ~ 50 coccoliths was analyzed for the treatments.

## Results

**Carbonate system**—All carbonate system parameters, with the exception of [CO<sub>2</sub>], changed fundamentally differently with increasing fCO<sub>2</sub> in *variable*pH compared to *constant*pH. Most pronounced differences occurred in pH and [CO<sub>3</sub><sup>2-</sup>]. With increasing fCO<sub>2</sub>, pH remained constant in *constant*pH whereas it was decreasing in *variable*pH (Fig. 2C). [CO<sub>3</sub><sup>2-</sup>] decreased in *variable*pH but increased in *constant*pH (Fig. 2E). Because the saturation state of calcite

( $\Omega_{\text{calcite}}$ ) is a function of [CO<sub>3</sub><sup>2-</sup>], it changed proportionally to [CO<sub>3</sub><sup>2-</sup>] (Fig. 2F). DIC and [HCO<sub>3</sub><sup>-</sup>] increased in both experiments, albeit differently (Fig. 2B,D).

DIC drawdown in the course of both experiments was estimated from total particulate carbon buildup. According to this, DIC drawdown was always well below 100 μmol kg<sup>-1</sup> of the initial DIC concentration. The maximum change in pH in *constant*pH was always below 0.02.

**Growth rate, cell diameter, and organic matter production**—Growth rate, mean cell diameter, POC, and Chl *a* production displayed distinct patterns in *constant*pH and

Table 1.  $f\text{CO}_2$  levels (Pa) in  $\text{variablepH}$  and  $\text{constantpH}$  at which maximum rates of individual processes are half-saturated ( $K_m$ ).  $V_{\text{max}}$  represents the calculated maximum rate for each of the processes. See text for details about calculations of  $K_m$  and  $V_{\text{max}}$ .

	variablepH	constantpH
$K_m$		
Growth rate	2.7	1.7
Cell diameter	0.8	0.6
POC production	4.6	7.6
Chl <i>a</i> production	5.9	5.5
PIC production	2.7	22.8
$V_{\text{max}}$		
Growth rate ( $\text{d}^{-1}$ )	1.1	1.1
Cell diameter ( $\mu\text{m}$ )	4.8	4.7
POC production ( $\text{pg C cell}^{-1} \text{d}^{-1}$ )	12.3	12.7
Chl <i>a</i> production ( $\text{pg Chl } a \text{ cell}^{-1} \text{d}^{-1}$ )	0.16	0.2
PIC production ( $\text{pg C cell}^{-1} \text{d}^{-1}$ )	7.5	8

$\text{variablepH}$ . All four parameters increased similarly from low to intermediate  $f\text{CO}_2$  where they reached their highest level. While they decreased linearly at  $\text{variablepH}$  from intermediate to high  $f\text{CO}_2$ , all four parameters remained rather constant in  $\text{constantpH}$  (Figs. 3, 4A,B). Because we observed three major regions where these measured physiological parameters show a characteristic response with  $f\text{CO}_2$ , we divide the whole  $f\text{CO}_2$  range into three distinct ranges. The low  $f\text{CO}_2$  range (0 to  $\sim 20$  Pa) is characterized by a strong increase of growth and production rates in both experiments. The intermediate range (between  $\sim 20$  and  $\sim 100$  Pa) corresponds to the part of the response curve where growth and production rates have their particular optima, while the high  $f\text{CO}_2$  range is defined as the part of the response curve above these optima.

Growth rate and cell diameter reached their highest measured values at  $\sim 20$  Pa in both experiments. Both growth rate and mean cell diameter started to diverge considerably in  $\text{variablepH}$  compared to  $\text{constantpH}$  at  $\sim 100$  Pa and  $200$  Pa, respectively (Fig. 3A,B). Highest measured POC production rates of about  $14 \text{ pg cell}^{-1} \text{d}^{-1}$  in  $\text{variablepH}$  and  $\text{constantpH}$  were reached at approximately  $80$  Pa (Fig. 4A). Highest Chl *a* production rates were also reached at  $\sim 80$  Pa in both experiments. In contrast to growth rate, mean cell diameter, and POC production, where highest absolute values were always similar in both experiments, highest Chl *a* production is consistently higher in  $\text{constantpH}$  ( $0.2 \text{ pg cell}^{-1} \text{d}^{-1}$ ) compared to  $\text{variablepH}$  ( $\sim 0.16 \text{ pg cell}^{-1} \text{d}^{-1}$ ) (Fig. 4B). Note, however, that the light source of the fluorometer was exchanged in between measurements of the two data sets which might have led to these slight differences in absolute values.

**Calcification**—Calcification rates in  $\text{variablepH}$  increased strongly from lowest to intermediate  $f\text{CO}_2$  values of about  $40$  Pa and started to decrease above that value. The decrease from intermediate to higher  $f\text{CO}_2$  is similar to previous studies (Riebesell et al. 2000; Zondervan et al. 2001) who used the same strain. PIC:POC showed a decreasing trend in  $\text{variablepH}$  throughout the whole  $f\text{CO}_2$

range. Calcification rates in  $\text{constantpH}$  also increased from low to intermediate  $f\text{CO}_2$  levels, but the increase is not as steep as in  $\text{variablepH}$  (Fig. 4C; Table 1). Calcification rates and PIC:POC displayed a slight decrease in the high  $f\text{CO}_2$  range in  $\text{constantpH}$  (Fig. 4C,D). However, follow-up experiments with the same constant pH setup but different constant pH levels ( $\text{pH}_f$  8.3 and 7.7; data not shown) showed calcification rates and PIC:POC remaining high up to  $f\text{CO}_2$  levels which, in some treatments, were even higher than in the constant-pH experiment presented here. Hence, the slightly lower values in this study do not seem to indicate a real trend towards decreasing calcification and PIC:POC at high  $f\text{CO}_2$  under constant pH.

SEM documented an increasing degree of malformation at  $f\text{CO}_2$  levels above  $\sim 40$  Pa in  $\text{variablepH}$  (Figs. 5B, 6A–F). Coccoliths could not be found on the cell surface at the highest  $f\text{CO}_2$  level (i.e.,  $\sim 600$  Pa). However, 2% out of 300 examined cells revealed visible signs of internal coccoliths which have not been egested to the cell surface (Fig. 6F), and we occasionally found free coccoliths on the SEM sample showing signs of dissolution. In contrast to  $\text{variablepH}$ , malformations were on average less pronounced at high  $f\text{CO}_2$  in  $\text{constantpH}$  (Figs. 5C, 6G–L). Coccoliths started to be incomplete in the low  $f\text{CO}_2$  range of  $\text{constantpH}$  at  $\sim 19$  Pa ( $\Omega_{\text{calcite}} = 1$ ) (Figs. 5C, 6H) and were completely absent below  $\sim 12$  Pa (none out of 300 cells had any visible signs of coccoliths below  $\Omega_{\text{calcite}}$  of  $\sim 0.4$ ) (Fig. 6G).

## Discussion

In this study we extended the  $f\text{CO}_2$  range toward lower and higher levels to investigate physiological responses in the ubiquitous coccolithophore *E. huxleyi*. To separate the effects of  $\text{CO}_2$  and pH, pH was kept constant with increasing  $f\text{CO}_2$  in a second experiment by using an organic buffer.

*Growth rate, POC, and Chl a production from low to intermediate  $f\text{CO}_2$  (2–100 Pa)*—It has been the subject of discussion which carbonate system parameter is ultimately responsible for reduced growth and production rates at

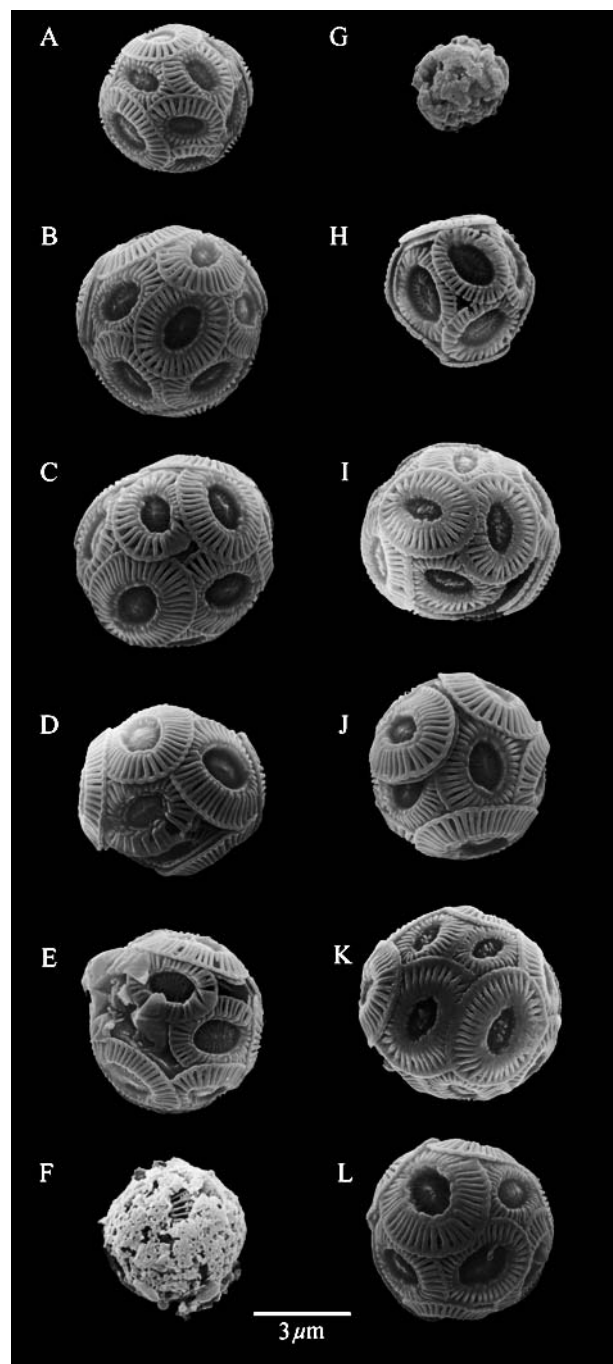


Fig. 6. SEM micrographs of *Emiliania huxleyi* at different carbonate chemistry conditions. The left column shows cells of the variable pH experiment (A, B, C, D, E, F) while the right column shows cells of the constant pH experiment (G, H, I, J, K, L). (A)  $f\text{CO}_2 = 2$  Pa,  $\text{pH}_f = 9.06$ ,  $\Omega_{\text{calcite}} = 14.7$ ; (B)  $f\text{CO}_2 = 29$  Pa,  $\text{pH}_f = 8.24$ ,  $\Omega_{\text{calcite}} = 5.0$ ; (C)  $f\text{CO}_2 = 63$  Pa,  $\text{pH}_f = 7.96$ ,  $\Omega_{\text{calcite}} = 2.9$ ; (D)  $f\text{CO}_2 = 102$  Pa,  $\text{pH}_f = 7.78$ ,  $\Omega_{\text{calcite}} = 2$ ; (E)  $f\text{CO}_2 = 312$  Pa,  $\text{pH}_f = 7.32$ ,  $\Omega_{\text{calcite}} = 0.74$ ; (F)  $f\text{CO}_2 = 557$  Pa,  $\text{pH}_f = 7.08$ ,  $\Omega_{\text{calcite}} = 0.4$ ; (G)  $f\text{CO}_2 = 4$  Pa,  $\text{pH}_f = 7.98$ ,  $\Omega_{\text{calcite}} = 0.2$ ; (H)  $f\text{CO}_2 = 13$  Pa,  $\text{pH}_f = 8.00$ ,  $\Omega_{\text{calcite}} = 0.7$ ; (I)  $f\text{CO}_2 = 50$  Pa,  $\text{pH}_f = 7.99$ ,  $\Omega_{\text{calcite}} = 2.6$ ; (J)  $f\text{CO}_2 = 108$  Pa,  $\text{pH}_f = 8.00$ ,  $\Omega_{\text{calcite}} = 6$ ; (K)  $f\text{CO}_2 = 241$  Pa,  $\text{pH}_f = 8.00$ ,  $\Omega_{\text{calcite}} = 13.3$ ; (L)  $f\text{CO}_2 = 371$  Pa,  $\text{pH}_f = 8.01$ ,  $\Omega_{\text{calcite}} = 21$ .

low-CO<sub>2</sub>, high-pH conditions in marine phytoplankton (Riebesell et al. 1993; Chen and Durbin 1994). As pointed out by Goldman (1999), it is virtually impossible to draw conclusions about the key carbonate chemistry parameter for growth and production in experiments where CO<sub>2</sub> and pH covary. In experiments where CO<sub>2</sub> and pH did not covary, it has been proposed that high pH is the limiting factor for growth rates at low-CO<sub>2</sub>, high-pH conditions (Hansen et al. 2007). In contrast to this interpretation, we suggest that low CO<sub>2</sub> rather than high pH is responsible for reduced growth and organic carbon production rates in the low  $f\text{CO}_2$  range of both experiments due to the following reasons. Despite the pronounced differences in the carbonate chemistry conditions between variable pH and constant pH (Fig. 2), growth, POC, and Chl *a* production rates increase in a similar way in both experiments from low to intermediate  $f\text{CO}_2$  (Figs. 3, 4A,B; Table 1). Because no such similarity can be found in relation to any other carbonate system parameter, the consistency of the trends in relation to CO<sub>2</sub> supports the notion that the concentration of CO<sub>2</sub> is the responsible parameter at sub-saturating conditions. This, however, does not mean that CO<sub>2</sub> is the only carbon source utilized by *E. huxleyi*. It has been shown earlier that HCO<sub>3</sub><sup>-</sup> is an additional inorganic carbon source for *E. huxleyi* (Paasche 1964; Rost et al. 2003; Schulz et al. 2007). The reason why external [CO<sub>2</sub>] may still be the key parameter limiting growth, POC, and Chl *a* production rates at low  $f\text{CO}_2$  can be explained by considering inorganic carbon fluxes between the cells and the seawater. *E. huxleyi* actively increases its intracellular CO<sub>2</sub> concentration to levels higher than those of the surrounding medium (Rost et al. 2003; Schulz et al. 2007) to increase the efficiency of the carbon-fixing enzyme ribulose-1,5-bisphosphate-carboxylase/oxygenase. If the CO<sub>2</sub> concentration is higher inside the cytosol than in the surrounding medium and the concentrated CO<sub>2</sub> is not instantly fixed into organic compounds, then part of the actively accumulated inorganic carbon will leak out of the cells because cell membranes are to some extent permeable to CO<sub>2</sub>. High leakage of CO<sub>2</sub> out of the cells can lead to an increased energy demand for inorganic carbon uptake to compensate for leakage, or to reduced CO<sub>2</sub> fixation if the cell does not fully compensate for CO<sub>2</sub> loss via the carbon concentrating mechanisms (Falkowski and Raven 2007). Leakage of CO<sub>2</sub> increases if the CO<sub>2</sub> gradient between the cytosol and the external medium increases (Sharkey and Berry 1985). In our experiments, this CO<sub>2</sub> gradient was probably larger at low external  $f\text{CO}_2$  so that the loss of CO<sub>2</sub> via leakage and therefore the reduction in carbon fixation rates could have been more pronounced at these conditions. Indeed, it has been observed for several other species that leakage of CO<sub>2</sub> strongly increased at  $f\text{CO}_2$  levels below ~ 20 Pa (Rost et al. 2006; Trimborn et al. 2008), which coincides with the CO<sub>2</sub> level below which a strong decrease in growth rates and POC production rates was found in the study presented here (Figs. 3A, 4A).

In this context, it is interesting to have a closer look at data by Hansen et al. (2007), who proposed high pH rather than low CO<sub>2</sub> to be responsible for reduced growth at low-CO<sub>2</sub>, high-pH conditions. Plotting their measured growth



rates in relation to  $f\text{CO}_2$  shows that growth rates nicely correlate with  $f\text{CO}_2$  regardless whether the pH is normal (8.1) or comparatively high (up to 9.2). Hence, decreasing growth rates at low- $\text{CO}_2$ , high-pH conditions as observed by Hansen et al. (2007) can actually also be interpreted to depend on external  $\text{CO}_2$  concentration and therefore do not necessarily have to be a consequence of high pH.

*Growth rate, POC, and Chl a production from intermediate to high  $f\text{CO}_2$  levels (100–600 Pa)*—Growth rates, POC, and Chl *a* production started to decrease in the high  $f\text{CO}_2$  range at variable pH range whereas they remained rather constant at constant pH (Figs. 3, 4A,B). These fundamental differences cannot be related to different  $\text{HCO}_3^-$  or  $\text{CO}_2$  concentrations because  $[\text{HCO}_3^-]$  and  $[\text{CO}_2]$  increased in both experiments at high  $f\text{CO}_2$  (Fig. 2A,D). Carbonate system parameters that could potentially explain the different trends are pH or  $[\text{CO}_3^{2-}]$  since only these two parameters have opposing trends with increasing  $f\text{CO}_2$  in variable pH compared to constant pH (Fig. 2C,E). Considering the large availability of  $\text{CO}_2$  and  $\text{HCO}_3^-$  in the high  $f\text{CO}_2$  range, it seems unlikely that differences in  $[\text{CO}_3^{2-}]$  were responsible for the observed differences between constant and variable pH incubations. Therefore, the difference in pH most likely explains the observed differences between variable pH and constant pH in the high  $f\text{CO}_2$  range. Indeed, high  $[\text{H}^+]$  in seawater seems to correspond with high  $[\text{H}^+]$  inside phytoplankton cells (Coleman and Colman 1981; Nimer et al. 1994; Suffrian et al. 2011), which was shown to lead to decreased growth rates in *E. huxleyi* (Nimer et al. 1994). The negative effect of high intracellular  $[\text{H}^+]$  may result from an increased  $[\text{H}^+]$  in the stroma of the chloroplast, which could lead to a reduced  $\text{CO}_2$  fixation efficiency (Werdan et al. 1975; Coleman and Colman 1981).

*Calcification*—Previous studies examining the effect of ocean acidification on *E. huxleyi* found decreasing calcification rates for  $\text{CO}_2$  concentrations and pH levels projected for the year 2100 compared to present-day conditions (Riebesell et al. 2000; Sciandra et al. 2003; Delille et al. 2005). However, not all studies confirm the decreasing trend in calcification with increasing  $f\text{CO}_2$  (Buitenhuis et al. 1999; Iglesias-Rodriguez et al. 2008; Langer et al. 2009). Contradictory results have been hypothesized to result from genotypic variations within different strains of *E. huxleyi* (Langer et al. 2009). If other strains also show optimized growth, primary production, and calcification rates at certain carbonate chemistry conditions, then observed strain-specific differences might reflect different strain-specific carbonate chemistry optima, potentially depending on the environmental conditions at the time and location at which the strain was originally isolated. Results presented in this study for the strain B92/11 are well in line with results presented in Riebesell et al. (2000), who used the same strain 10 yr earlier. In both studies, highest calcification rates were found at current atmospheric  $f\text{CO}_2$  levels of about 40 Pa and declined from that point onwards. Based on these results, it can be concluded that during this 10-yr culturing period this strain did not change

the general response of calcification to increased  $f\text{CO}_2$  and decreased pH.

From low to intermediate  $f\text{CO}_2$ , the trends in calcification rates of variable pH compared to constant pH were neither similar in relation to  $f\text{CO}_2$  (Fig. 4C) nor to any other carbonate system parameter (data not shown). According to this, there is no clear evidence for the key carbonate chemistry parameter(s) responsible for calcification rates at sub-saturating conditions. Currently, most studies favor  $\text{HCO}_3^-$  as the substrate for calcification while others favor  $\text{CO}_2$  and  $\text{HCO}_3^-$  (see review by Paasche 2001).

In the  $f\text{CO}_2$  range above  $\sim 40$  Pa, calcification rate decreased in variable pH whereas it remained at higher levels in constant pH. We suggest that this difference is mainly caused by differences in seawater pH. The formation of calcium carbonate intracellularly leads to a production of  $\text{H}^+$ .  $\text{H}^+$  has to be removed or neutralized to avoid a drop of the intracellular pH. The removal of  $\text{H}^+$  is relatively easy at high seawater pH since the electrochemical gradient between in- and outside the cell is comparatively large (Mackinder et al. 2010). At decreasing seawater pH, the electrochemical gradient between the cell and the seawater might become smaller and  $\text{H}^+$  removal from the cytosol therefore more difficult (Taylor et al. 2011; Suffrian et al. 2011).

The degree of malformation in coccoliths has been proposed to be a direct consequence of the seawater carbonate chemistry at high- $\text{CO}_2$ , low-pH conditions. (Riebesell et al. 2000; Langer et al. 2006; Müller et al. 2010). SEM micrographs presented in our study confirm this for the high  $f\text{CO}_2$  range in variable pH. However, an increased frequency of malformed coccoliths could neither be found at very low  $f\text{CO}_2$ , high-pH conditions in variable pH nor could it be found with the same frequency over the whole  $f\text{CO}_2$  range in constant pH (Figs. 5, 6). This suggests that malformations of coccoliths are mainly induced by seawater  $\text{pH}_f$  below  $\sim 8$ .

In the highest  $f\text{CO}_2$  treatment of variable pH, we did not find coccoliths on the cell surface using SEM. As most cells on the microscope slide were slightly damaged and the cell interior exposed, we examined those cells for the presence of internal coccoliths. In six out of 300 cells examined by SEM we found intact coccoliths inside the cells that had not yet been egested to the cell surface (Fig. 6F). In addition, we occasionally found free coccoliths showing clear signs of dissolution. These findings indicate that at least some cells were able to produce coccoliths at  $\Omega_{\text{calcite}}$  values below 0.4 but that these coccoliths rapidly dissolved after being egested to the cell surface and in contact with the corrosive seawater. This interpretation is supported by the inorganic carbon production rate of  $\sim 1.7 \text{ pg cell}^{-1} \text{ d}^{-1}$  found at these conditions, suggesting that calcification was still ongoing (Fig. 4C). The second interpretation that coccoliths rapidly dissolved once they were egested is consistent with observations by Beaufort et al. (2007), who found clear signs of rapid coccolith dissolution to start when  $\Omega_{\text{calcite}}$  becomes smaller than 0.4.

Similar to the  $0.4\text{-}\Omega_{\text{calcite}}$  treatment of variable pH, we could not find any coccoliths on the cell surface in constant pH in those treatments where  $\Omega_{\text{calcite}}$  was 0.4 or lower. Because we found no signs of coccoliths inside 300 examined cells and not a single free coccolith on the SEM slides either, it remains unclear whether coccoliths in the low- $\Omega_{\text{calcite}}$

treatments of  $\text{constant pH}$  are absent due to post-formation dissolution or if the cells did not produce coccoliths under these conditions. A major difference between the two experiments is that DIC is high at  $\text{variable pH}$  when  $\Omega_{\text{calcite}}$  becomes smaller than 0.4 while DIC is low at  $\text{constant pH}$  when  $\Omega_{\text{calcite}}$  becomes smaller than 0.4 (Fig 2F). The low availability of DIC might explain the absence of coccoliths in the low- $\Omega_{\text{calcite}}$  treatments of  $\text{constant pH}$ . Possibly, the production of coccoliths was switched off at low DIC since calcification was competing with the more essential process of carbon fixation for limiting inorganic carbon and it could be too energy demanding to keep both processes operating.

**Implications for future research**—Our findings that key metabolic processes show optimum curves in response to carbonate chemistry changes, culminating at different levels of  $\text{fCO}_2$ , and that  $\text{CO}_2$  and pH appear to be the key parameters responsible for the observed changes in metabolic rates at low and high  $\text{fCO}_2$  levels, respectively, in this strain of *E. huxleyi* may help to develop more realistic parameterizations for coccolithophore calcification in response to future ocean acidification. According to these results, future research dealing with DIC uptake mechanisms of phytoplankton at sub-saturating conditions should have a closer look at the loss of  $\text{CO}_2$  out of the cell, next to the uptake of  $\text{CO}_2$  and  $\text{HCO}_3^-$  into the cells, because the loss of  $\text{CO}_2$  at low external  $\text{fCO}_2$  might, at least for some species, be a key process determining phytoplankton sensitivity to low  $\text{CO}_2$ . In the high  $\text{fCO}_2$  range, further research should focus on the possible direct influence of pH. The results of this study may help to reconcile some of the contradictory observations on coccolithophore  $\text{CO}_2$  and pH sensitivity, and contributes to improving our understanding of the cellular mechanisms involved in primary production and calcification.

#### Acknowledgments

We thank Andrea Ludwig and Kerstin Nachtigall for their support on particulate carbon measurements, Ute Schuldt and Sebastian Meier for their support during scanning electron microscopy, Sarah Febiri for taking care of the *Emiliania huxleyi* stock cultures, and the two anonymous reviewers for their valuable comments and suggestions on the manuscript. This research was funded by the Federal Ministry of Education and Research (Bundesministerium für Bildung und Forschung; 03F0608A) in the framework of the Biological Impacts of Ocean Acidification (BIOACID) project (subproject 3.1.1).

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Associate editor: John Albert Raven

Received: 27 January 2011

Accepted: 27 July 2011

Amended: 01 August 2011